

UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS

UNITED STATES SECURITIES AND  
EXCHANGE COMMISSION,

Plaintiff,

v.

STEVEN E. NOTHERN,

Defendant.


CIVIL ACTION No. 05-10983 (NMG)

**LOCAL RULE 16.1(D)(3) CERTIFICATE**

The undersigned hereby certify that they have conferred with respect to establishing a budget for the costs of conducting the full course--and various alternative courses--of the litigation; and have considered the resolution of the litigation through the use of alternative dispute resolution programs such as those outlined in Local Rule 16.4.



Steven E. Nothorn



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Dated: October 17, 2005

**Certificate of Service**

I, Kevin B. Currid, one of the attorneys for Steven E. Nothorn hereby certify that I have served the foregoing on counsel for the Securities and Exchange Commission by first class mail.

